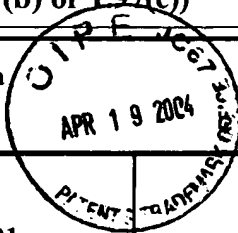


2163

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14779

In Re Application Of: Terumasa Matsushima



Serial No.
09/903,250

Filing Date
July 11, 2001

Examiner
Unassigned

Group Art Unit
2163

Title: **AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE
INFORMATION FOR BOTH PURCHASER AND SELLER**

RECEIVED

APR 21 2004

GROUP 3600

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

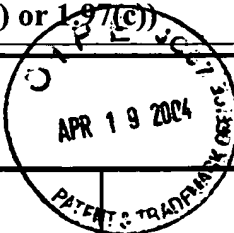
OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14779

In Re Application: Terumasa Matsushima



Serial No.
09/903,250

Filing Date
July 11, 2001

Examiner
Unassigned

Group Art Unit
2163

**AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE
INFORMATION FOR BOTH PURCHASER AND SELLER**

RECEIVED
APR 21 2004
GROUP 3600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 15, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

***This certificate may only be used if paying by deposit account**

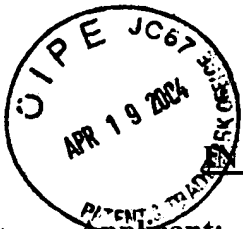
Signature

Dated: April 15, 2004

Paul J. Esatto, Jr.
Registration No. 30, 749

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

cc: PJE/hpm



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Terumasa Matsushima **Examiner:** Unassigned
Serial No.: 09/903,250 **Art Unit:** 2163
Filed: July 11, 2001 **Docket:** 14779
For: AUCTION INFORMATION **Dated:** April 15, 2004
PROVISION SYSTEM CAPABLE OF
PROVIDING RELIABLE INFORMATION
FOR BOTH PURCHASER AND SELLER
Conf. No.: 9454

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
APR 21 2004
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Sir:

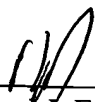
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. H7-302287, published November 14, 1995.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 15, 2004.

Dated: April 15, 2004




Paul J. Esatto, Jr.

The reference was cited in a Japanese Official Action dated January 27, 2004 received from the Japanese Patent Office. Applicant is submitting a copy of the above-cited reference together with an English translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. Patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


Paul J. Esatto, Jr.
Registration No. 30,749

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:hpm

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Atty. Docket No. 14779		Serial No. 09/903,250	
		Applicant Terumasa Matsushima			
		Filing Date July 11, 2001		Group 2163	

RECEIVED
 APR 19 2004
 U.S. PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
EXAMINER'S INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)	

RECEIVED
 APR 21 2004
 GROUP 3600

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	H7-302287	11/14/95	Japan			X	
	2							
	3							
	4							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)	

EXAMINER	DATE CONSIDERED
----------	-----------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.